

4-5-01

PRESS MAIL NO.: EL 491887575 US  
ORNEY DOCKET NO. 14114.0332U3  
SERIAL NO. Unassigned  
PAGE 1 OF 3 (04/04/01)

CONTINUING APPLICATION TRANSMITTAL FORM  
(37 C.F.R. § 1.53(b))

ANTICIPATED CLASSIFICATION OF THIS APPLICATION:

PRIOR APPLICATION: 09/701,536

CLASS:

SUBCLASS:

EXAMINER: Unassigned

ART UNIT: Unassigned

To the Assistant Commissioner for Patents:

This is a request for filing a continuation-in-part application under 37 C.F.R. § 1.53(b), of pending prior application Serial No. 09/701,536, filed on November 29, 2000, which is a national phase application filed from international patent application PCT/US99/12298, filed June 3, 1999, which claims priority to Provisional application Serial No. 60/087,908, filed June 4, 1998 of Gwong-Jen J. Chang for "NUCLEIC ACID VACCINES FOR PREVENTION OF FLAVIVIRUS INFECTION."

If any extension of time is necessary for the filing of this application, including any extension of time necessary in the prior application for maintaining copendency between the prior application and this application, and such extension has not otherwise been requested, Applicant hereby petitions for such an extension in the prior application.

1. Enclosed is a specification as prescribed by 35 U.S.C. § 112 containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75, and any drawing required by § 1.81(a).

2. The inventor of the invention being claimed in this application is: Gwong-Jen J. Chang

3A. ☐ This application is a continuation or divisional application (not a continuation-in-part) that:

- (i) names as inventors the same or fewer than all of the inventors named in the prior application; and
- (ii) contains no matter that would have been new matter in the prior application; and
- (iii) a copy of the executed oath or declaration filed in the prior application, showing the signature or an indication thereon that it was signed:

☐ is enclosed.  
☐ will follow.

☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. § 1.53(d)(4), the Commissioner is requested to delete the name(s) of the following persons who are not inventors of the invention being claimed in this application:

--OR--

3B. ☒ This application is a continuation-in-part application, or a continuation or divisional application naming an inventor not named in the prior application. A newly executed oath or declaration:

☒ is enclosed.  
☐ will follow.

4. ☐ Amend the Title of the Invention as follows:

5. ☐ Amend the specification by inserting before the first line the sentence:

6. ☐ Priority of foreign application number is claimed under 35 U.S.C. § 119.

☐ The certified copy has been filed in prior application Serial No. , filed on .

JCS6 U.S. PTO  
09/826115  
04/04/01

04/04/01

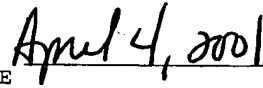
09/826115

7.	<input type="checkbox"/>	Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)																																			
8.	<input type="checkbox"/>	A preliminary amendment is enclosed.																																			
9.	<input checked="" type="checkbox"/>	New formal drawings are enclosed.																																			
10.	<input checked="" type="checkbox"/>	Also enclosed is/are: Sequence listing (46 pages); Sequence listing diskette; and form entitled Authorization to Treat Reply Requiring Extension of Time as Incorporating Petition for Extension of Time and Payment of Extension of Time Fee.																																			
11.	<input type="checkbox"/>	The prior application is assigned of record to:																																			
12.	<input type="checkbox"/>	Applicant claims small entity status. See 37 C.F.R. § 1.27.																																			
13.	The filing fee is calculated as follows:																																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">TOTAL CLAIMS</td> <td style="width: 20%;">43 - 20 =</td> <td style="width: 20%;">23</td> <td style="width: 20%;">x \$18.00</td> <td style="width: 20%;">\$414.00</td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td>1 - 3 =</td> <td>0</td> <td>x \$80.00</td> <td>\$0.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) =</td> <td>+ \$270.00</td> <td>\$0.00</td> </tr> <tr> <td colspan="4">BASIC FEE =</td> <td>\$710.00</td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1,124.00</td> </tr> <tr> <td colspan="4">REDUCTION BY ½ FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. § 1.9, § 1.27, § 1.28)</td> <td>\$</td> </tr> <tr> <td colspan="4">TOTAL NATIONAL FEE =</td> <td>\$1,124.00</td> </tr> </table>			TOTAL CLAIMS	43 - 20 =	23	x \$18.00	\$414.00	INDEPENDENT CLAIMS	1 - 3 =	0	x \$80.00	\$0.00	MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) =			+ \$270.00	\$0.00	BASIC FEE =				\$710.00	TOTAL OF ABOVE CALCULATIONS =				\$1,124.00	REDUCTION BY ½ FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. § 1.9, § 1.27, § 1.28)				\$	TOTAL NATIONAL FEE =				\$1,124.00
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14.	<input checked="" type="checkbox"/>	A check in the amount of \$1,124.00 is enclosed.																																			
15.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any fees which may be required under 37 C.F.R. § 1.16 and § 1.17, or credit any overpayment to Deposit Account No. 14-0629.																																			
16.	<input checked="" type="checkbox"/>	<p>The Power of Attorney in the prior application is to: Needle &amp; Rosenberg, P.C.</p> <p>a. <input checked="" type="checkbox"/> The Power of Attorney appears in the original papers in the prior application.</p> <p>b. <input type="checkbox"/> Since the Power does not appear in the original papers, a copy of the power in the prior application is enclosed.</p> <p>c. <input checked="" type="checkbox"/> Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)</p> <p style="text-align: right;">Mary L. Miller, Esq.          NEEDLE &amp; ROSENBERG, P.C.          Suite 1200, The Candler Building          127 Peachtree Street, N.E.          Atlanta, GA 30303-1811</p>																																			

17. I hereby verify that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Mary L. Miller  
REG. NO. 39,303

  
SIGNATURE

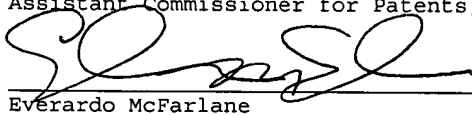
  
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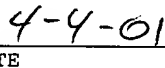
- ☐ Inventor(s)  
☐ Assignee of complete interest  
☒ Attorney or agent of record  
☐ Filed under 37 C.F.R. § 1.34(a)  
(Registration No. is acting under 37 C.F.R. § 1.34(a):

EXPRESS MAIL NO. EL 491887575 US

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Invoice No. EL 491887575 US in an envelope addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

  
Everardo McFarlane

  
DATE